



GP 2164

PATENT  
RD-27419

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Smith II, et al. : Group Art Unit: 2164  
Serial No. 09/392,028 : Examiner:  
Filed: September 8, 1999 :  
For: METHODS AND APPARATUS FOR :  
PROCESSING CASH ADVANCE :  
REQUESTS :

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## CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on Nov. 30, 2001.

*Michael Tersillo*  
Michael Tersillo, Reg. No. 42,180

## INFORMATION DISCLOSURE STATEMENT

1. ☐ Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
2. ☐ Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
3. ☒ Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
4. ☐ Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)  
[before Issue Fee payment]

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5. ☒ A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

6. ☐ 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
7. ☒ 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

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8. ☐ The required certification made in item 11 below; or
9. ☒ The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10. ☐ 37 CFR 1.97(d): [before issue fee payment]; and
- ☐ a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
- ☐ b) The required Certification is stated in item 11 below.
11. ☐ Certification
12. ☐ Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
13. ☐ No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14. ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,



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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/392,028	
			Filing Date	September 8, 1999	
			First Named Inventor	Smith II, et al.	
			Group Art Unit	2164	
			Examiner Name	D. Felten	
Sheet	1	of	1	Attorney Docket Number	RD-27419

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	6,208,979 B1		Sinclair	March 27, 2001	
	AB	6,058,369		Rothstein	May 2, 2000	
	AC	6,029,149		Dykstra et al.	Feb. 22, 2000	
	AD	5,930,776		Dykstra et al.	July 27, 1999	
	AE	5,907,828		Meyer et al.	May 25, 1999	
	AF	5,636,117		Rothstein	Jun. 3, 1997	
	AG	4,774,663		Musmanno et al.	Sep. 27, 1988	
	AH	5,323,315		Highbloom	Jun. 21, 1994	
	AI	5,611,052		Dykstra et al.	March 11, 1997	
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	AN	6,018,721		Aziz et al.	Jan. 25, 2000	
	AO	6,014,627		Togher et al.	Jan. 11, 2000	
	AP	5,864,828		Atkins	Jan. 26, 1999	
	AQ	5,375,055		Togher et al.	Dec. 20, 1994	
	AR					
	AS					
	AT					

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				
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